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3624



PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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|--|----------------------|------------------------|-----------|
|  | Application Number   | 09/507,360             |           |
|  | Filing Date          | February 18, 2000      |           |
|  | First Named Inventor | Gottstein              |           |
|  | Art Unit             | 3624                   |           |
|  | Examiner Name        | A. Bashore             |           |
| Total Number of Pages in This Submission | 3                    | Attorney Docket Number | 3753/6CIP |

| ENCLOSURES (Check all that apply)  |   |  |  |
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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance Communication to TC   |  |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                        |  |
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| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information   |  |
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| <input type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application   | <input type="checkbox"/> Landscape Table on CD                            |  |  |
| <input type="checkbox"/> Reply to Missing Parts<br>under 37 CFR 1.52 or 1.53 |   | Remarks  |  |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|              |  |          |        |
|--------------|--|----------|--------|
| Firm Name    | Brown Raysman Millstein Felder & Steiner |          |        |
| Signature    |  |          |        |
| Printed name | John L. Dauer, Jr.                       |          |        |
| Date         | December 1, 2005                         | Reg. No. | 39,953 |

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| Typed or printed name | Tabitha Crosier | Date | December 1, 2005 |

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PATENT  
Attorney Docket No.: 3753/6CIP

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

David R. Gottstein

Application No: 09/507,360

Art Unit: 3624

Filed: February 18, 2000

Examiner: A. Bashore

For: Computerized System and Method for  
Optimizing After-Tax Proceeds  
Involving Options

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RESPONSE TO OFFICE ACTION**

In the office action dated September 7, 2005, the Examiner indicated that the previous office action was re-issued to provide for a timely reconsideration with second level review in Class 705 and for review of a previously filed terminal disclaimer.

Based on a telephone call from the Examiner, Applicant understands the second level review is complete. Therefore, the application should be in a condition for allowance, subject to approval of a Terminal Disclaimer previously filed on June 10, 2005.

As the Applicant explained in its last response, the office action dated March 11, 2005, the Examiner rejected pending claims 1-3, 5-14, 16-25 and 27-30 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over U.S. Patent No. 6,115,697. On June 10, 2005, Applicant filed a Terminal Disclaimer To Obviate a Double